

# Local Government Investigations and Compliance Inspectorate



# Inspectorate Background

- Created September 2009
- Administrative office within DPCD
- Investigation of complaints or issues related to breaches of the *Local Government Act 1989*
- Compliance audit function for the sector
- Why do we need a compliance audit function?
- Where does the authority to audit come from?

# Promoting Better Practices

- Establishing and audit process that meets the needs of the community and adds value to the sector
- Consultation
- Research
- Implementation
- What we audit
  - Procurement
  - Delegations
  - Budgets
  - Rates and charges
  - Declarations of interests
  - 25 components from the act

# How we audit

- Proactive and collaborative
- Topic based audits
  - Budgets
  - CEO Appointment Process
- Field audits
- On site – include all 79 councils over three year cycle
- How is the order selected?
- Process

# How we report

- Topic based audits
  - Individual councils
  - Generic information to sector
- Field audits
  - Individual councils
  - Generic themes to sector
- Opportunities for other agencies
  - LGV
  - MAV
  - VLGA

# What we have found

- Topic based audits
  - Budgets
  - CEO Appointment process
- Field audits
  - Eight Completed – 10% of councils
  - Procurement
  - Senior officer contracts
  - Special Committees
  - Primary and Ordinary returns
  - Delegations

# Inspectorate

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